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FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO TOT CLMS **DRAWINGS** IND CLMS (c) DATE 10/776,649 02/10/2004 1644 770 ABXPF1 DIV2 48 18

CONFIRMATION NO. 5045

1473 **FISH & NEAVE** 50TH FLOOR NEW YORK, NY 10020-1105

1251 AVENUE OF THE AMERICAS

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FILING RECEIPT OC000000012595860*

MAY 1 7 2004

FISH & NEAVE - PATENT DEPT. REFERRED TO NOTED BY

Date Mailed: 05/11/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey Herbert

Douglas Charles Hanson, Niantic, CT; Mark Joseph Neveu, Hartford, CT; Eileen Elliot Mueller, Old Lyme, CT; deffrey Hebert Hanke, Reading, MA; Steven Christopher Gilman, Cambridge, MA; C. Geoffrey Davis; Burlingame, CA; Jose Ramon Corvalan, Foster City, CA;

Domestic Priority data as claimed by applicant

This application is a DIV of 10/612,497 07/01/2003 which is a DIV of 09/472.087 12/23/1999 PAT 6.682.736 which claims benefit of 60/113,647 12/23/1998

Foreign Applications

If Required, Foreign Filing License Granted: 05/11/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Human monoclonal antibodies to CTLA-4

Preliminary Class

424

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN/FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Not Yet Assigned

Group Art Unit

1644

Applicants

Douglas Charles Hanson et al.

Application No.

10/776,649

Confirmation No.

5045

Filed

February 10, 2004

For

HUMAN MONOCLONAL ANTIBODIES TO CTLA-4

New York, New York July 9, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This is to request correction of the Filing Receipt issued in connection with the above-identified application.

In the issued Filing Receipt, one of the applicants' name was incorrectly recorded as "Jeffrey Hebert Hanke." As indicated in the unexecuted Declaration and Power of Attorney filed February 10, 2004 and the enclosed Declaration and Power of Attorney, the applicant's name should be "Jeffrey Herbert Hanke" (See Tab A).

Applicants request that the Filing Receipt be corrected as indicated on the enclosed copy (See Tab B), and a new receipt be issued.

Respectfully submitted,

Jane T. Gunnison (Reg. No. 38,479)

Li Su (Reg. No. 45,141)

Attorneys for Applicants

c/o FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000 Fax.: (212) 596-9090

ABX/PF1 DIV2

LARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HUMAN MONOCLONAL ANTIBODIES TO CTLA-4

the specific	cation of which		
(check one)	[X] is attached hereto		
	[] was filed onas was amended on(if applica	Application Serial No and ble)	•

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I do not know and do not believe that the invention was ever patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application.

I do not know and do not believe that the invention was in public use or on sale in the United States of America more than one year prior to this application.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign	n Application(s)		
				Priority <u>Claimed</u>
(Number)	(Country)	(Day/I	Month/Year Filed)	[] [] Yes No
	m under Title pplication(s) l		Code, § 119(e) of an	y United States
60/113,647		12/23/1998		
(Appln. Seria	al No.)	(Filing Date)	(Status)(pate pending, about	•
this applicati provided by the duty to di known by me Regulations,	on is not disclethe first paragrisclose to the Ue to be materiary 1.56 which and the nationary 07/0	osed in the prior raph of Title 35, I Jnited States Patell to patentability became available	ne subject matter of ea United States applica United States Code, § ent and Trademark Of as defined in Title 37 between the filing da ional filing date of the Pending (Status)(patented,	tion in the manner 112, I acknowledge ffice all information Code of Federal ate of the prior
09/472,087 (Appln Seria		3/1999 ng Date)	Patented (U.S. Patented (Status)(patented, pending, abandoned	at. No. 6,682,736)
this application	nventor, I here on and transac cted therewith	t all business in	ollowing attorneys or the United States Pate	agents to prosecute nt and Trademark
	Jam	es F. Haley, Jr. (27,794)	
		Gunnison, (Reg		
		<u> ing Li (Reg. No</u>		
v	Li S	Su (Reg. No. 45,1	41)	·
Send corresp	ondence to:			
Direct teleph	one calls to:	Jane T. Gunni (212) 596-900		-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Douglas Charles Hanson	
Residence 3 Acorn Drive, Niantic, CT 06357, U.S.A	
Citizenship United States	
Post Office Address 3 Acorn Drive, Niantic, CT 06357, U.S.A	
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	Date
Full name of second joint inventor Mark Joseph Neveu	
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	Date
Full name of third joint inventor Eileen Elliot Mueller	
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Citizenship United States	
Post Office Address 4 Butterwick Lane, Old Lyme, CT 06371, U.S.A.	
;	
Third Inventor's signature	
	Date
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Post Office Address <u>1 Jefferson Circle, Reading, MA 01867, U.S.A.</u>	
Fourth Inventor's signature	
	Date
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Fifth Inventor's signature	
	Date

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Citizenship United States
Post Office Address 1132 Vancouver Avenue, Burlingame, CA 94010, U.S.A.
· · · · · · · · · · · · · · · · · · ·
Sixth Inventor's signature
Date
Full name of seventh inventor Jose Ramon Corvalan
Residence 125 Williams Lane, Foster City, CA 94404, U.S.A.
Residence 125 Williams Lane, Foster City, CA 94404, U.S.A. Citizenship Chile
Citizenship Chile
Citizenship Chile

變)



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HUMAN MONOCLONAL ANTIBODIES TO CTLA-4

the specification of which:	
[] is attached hereto.	
[] was filed on, and identify	fied as Attorney Docket No
[X] was filed on <u>December 23, 1999</u> , as Ser	rial No. <u>09/472,087</u> .
I hereby state that I have reviewed and underst specification, including the claims, as amended by any	and the contents of the above-identifie amendment referred to above.
I acknowledge the duty to disclose information this application in accordance with title 37, Code of Fe	which is material to the examination of the ederal Regulations, Section 1.56.
I hereby claim foreign priority benefits under Ti (a)-(d) of any foreign application(s) for patent or inventi identified below any foreign application for patent or i before that of the application on which priority is claim	or's certificate listed below and have als inventor's certificate having a filing dat
Prior Foreign Applications (s)	Priority Claimed
None	Yes No (Country)
(Number) (Day/Month/Year Filed)	103 (County)
I hereby claim the benefit under Title 35, United States provisional application(s) listed below:	ited States Code, Section 119(e) of an
Provisional Application (s)	Priority Claimed
60/113,647 12/23/98	Yes X No (Country)
(Number) (Day/Month/Year Filed)	(Soundy)

Declaration and Power of Attorney Page 1

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application	 (Filing Data)	(040	itus - patented			
None				• • •		:.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Christopher A. Hare, Reg. No. 37,637.

Direct all telephone calls to Christopher A. Hare.

Address all correspondence to:

Christopher A. Hare Patent Counsel Abgenix, Inc. 7601 Dumbarton Circle Fremont, CA 94555 phone: (510) 608-6533 fax: (510) 608-6511

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:	★Douglas Charles Hanson	
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Full name of fourth inventor:	Jeffrey Herbert Hanke
Total III voiliot.	
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Declaration and Power of Attorney Page 3 Post Office Address: 1 Jefferson Circle, Reading MA 01867

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Declaration and Power of Attorney Page 4